

CHAPTER 18

FEES

**CHAPTER 18 - SCOPE OF CHAPTER
FEES**

This **Chapter** sets out the *listee obligation to pay fees, the refunds available to the *listee and how fees are calculated.

The scale of fees and other related matters are published separately.

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FEES FOR ADMISSION.

LISTEE TO PAY FEES FOR ADMISSION.

18.1. A *listee seeking admission to the *official list and *quotation of *securities must pay the fees set and published by *APX. It must do so when, and in the manner that, *APX specifies.

REFUND IF LISTEE IS NOT ADMITTED.

18.2. If a *listee's application for admission to the *official list is not accepted, *APX will refund an amount set and published by *APX.

FEES UNDER RULE 10.10.3 (CHANGE TO ACTIVITIES).

18.3. If *APX applies **Rule 10.10.3** and requires compliance with **Chapters 4 and 6** a *listee must pay the fees set and published by *APX. It must do so when, and in the manner that, *APX specifies.

FEES FOR QUOTATION OF ADDITIONAL SECURITIES.

18.4. A *listee must pay the fees for *quotation of additional *securities, as set and published by *APX. It must do so when, and in the manner that, *APX specifies. However, no fee is payable in any of the following cases, except under **Rule 18.7**:

- (a) *Quotation of *securities or *units following the *conversion of *quoted securities.
- (b) Re-quotation of forfeited *securities after they have been sold, or redeemed by the former holder.

Cross reference **Rule 4.32**.

ANNUAL FEES.

LISTEE TO PAY ANNUAL FEE.

18.5. A *listee must pay an annual fee as set and published by *APX. It must do so when, and in the manner that *APX specifies. Fees paid more than 15 *business days after the due date, must be paid by bank cheque.

Cross reference: **Rule 2.19**.

REFUND IF LISTEE IS REMOVED ON REQUEST OR AFTER TAKEOVER.

18.6. If a *listee is removed from the *official list before the end of the first half of the year for which the annual fee is payable, *APX will, on application, refund half the annual fee paid, if one of the following applies :

- (a) The removal is at the request of the *listee.
- (b) The removal is under **Rule 2.26.2**.

ADDITIONAL FEES.

18.7. A *listee must pay additional fees as set and published by *APX. It must do so when, and in the manner that *APX specifies.

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Example: Additional fees are payable for:

- ❖ Reviewing draft documents.
- ❖ Making inquiries of the * listee about compliance with the * Listees' Listing Standards.
- ❖ Reinstating * quotation of securities that have been suspended from * quotation.

CALCULATION OF FEES.

18.8.1. A fee calculated by reference to the value of * securities, is calculated by reference to the value as set and published by * APX.

18.8.2. A fee calculated by reference to * quoted securities is calculated as if quoted * securities include each of the following.

- (a) * Securities for which * quotation has been granted, even if * quotation has been deferred, suspended or subject to a * trading halt.
- (b) Forfeited * securities which have not been cancelled.